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Merchant & Gould

An Intellectual Property Law Firm

3200 IDS Center
80 South Eighth Street
Minneapolis, Minnesota
55402-2215 USA
TEL 612.332.5300
FAX 612.332.9081
www.merchant-
gould.com

A Professional Corporation

Fax Transmission | August 20, 2004

TO: Commissioner for Patents
Attn: JCWS
P.O. Box 1450
Alexandria, VA 22313-1450

FROM: Curtis B. Hamre

OUR REF: 09717.0016US01
TELEPHONE: 612.336.4722

Total pages, including cover letter: 7

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Title of Document Transmitted:

Request to correct notice of recordation; marked
up copy of original; copy of original
recordation sheet; copy of assignment

Applicant: Kim, et al.
Serial No.: 10/691,867
Filed: October 23, 2003
Group Art Unit: 2835
Our Ref. No.: 09717.0016US01
Confirmation No. 6738

Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate.

By: Curtis B. Hamre
Name: Curtis B. Hamre
Reg. No.: 29,165

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on the date shown below.

Sarah Dannecker

[Signature]
Signature

8/20/04
Date

GEN033.DOT

S/N 10/691,867

PATENTIN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | | |
|-------------|---|-----------------|----------------|
| Applicant: | Kim, et al. | Examiner: | Unknown |
| Serial No.: | 10/691,867 | Group Art Unit: | 2835 |
| Filed: | October 23, 2003 | Docket No.: | 09717.0016US01 |
| Title: | MICRO-COMPRESSOR ACTUATED BY PIEZOELECTRIC ACTUATOR | | |

CERTIFICATE UNDER 37 CFR 1.6(d):

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on August 19, 2004.

By: 

Name: Sarah Dannecker

REQUEST TO CORRECT NOTICE OF RECORDATION OF ASSIGNMENT

U.S. Patent and Trademark Office
Assignment Division; Office of Public Records
Crystal Gateway 4, Room 335
Washington, D.C. 20231

Dear Sir:

Enclosed is a photocopy of the Notice of Recordation from the U.S. Patent and Trademark Office in the above-identified application showing requested corrections.

The Serial Number is incorrect.

Please replace [10961867] with 10691867.

Correction of the records of the United States Patent and Trademark Office and issuance of a corrected Notice of Recordation of Assignment Document are respectfully solicited.

Respectfully submitted,

MERCHANT & GOULD P.C.
P.O. Box 2903
Minneapolis, MN 55402-0903
(612) 332-5300

Dated: August 20, 2004

By: 

Curtis B. Hamre
Reg. No. 29,165

CBH/sbd

**UNITED STATES PATENT AND TRADEMARK OFFICE**UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

JULY 29, 2004

PTAS



102657143A

MERCHANT & GOULD P.C.
CURTIS B. HAMRE
P.O. BOX 2903
MINNEAPOLIS, MN 55402**UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT**

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 01/26/2004

REEL/FRAME: 014915/0904
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

KIM, JONG-WON

DOC DATE: 10/23/2003

ASSIGNOR:

KIM, HYUN-SE

DOC DATE: 10/23/2003

ASSIGNOR:

KWON, KI-BAIK

DOC DATE: 10/23/2003

ASSIGNEE:

SEOUL NATIONAL UNIVERSITY
INDUSTRY FOUNDATION
222 2ND FLOOR
SEOUL NATIONAL UNIVERSITY RESEARCH
PARK MAIN CENTER, SAN 4-2, BONG-
CHUN 7 DONG
KWANAK-GU, SEOUL, REPUBLIC OF KOREA

PROLAW

014915/0904 PAGE 2

SERIAL NUMBER: [10961867] 10691867
PATENT NUMBER:
TITLE:

FILING DATE:
ISSUE DATE:

MARCUS KIRK, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

01-29-2004

FORM PTO-1595

(Rev. 6-93)

OMB No. 0651-0011 (exp. 4/94)

M&G-9717.16US01



102657143

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

To the Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Jong-Won KIM
Hyun-se KIM
Ki-baik KWON

1-26-04

2. Name and address of receiving party(ies):

Seoul National University Industry Foundation
222 2nd Floor
Seoul National University Research Park Main Center
San 4-2, Bong-chum 7 dong
Kwanak-gu, Seoul
Republic of KoreaAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ NoAdditional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other:

Execution Date: October 23, 2003

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

10/691,867

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Curtis B. Hamre
Address: Merchant & Gould P.C.
P. O. Box 2903
Minneapolis, MN 55402

6. Total number of applications and patents involved:

7. Total fee (37 CFR 3.41): \$40.00

☒ Enclosed
☐ Authorized to be charged to deposit account

8. Please charge any additional fees or credit any overpayments to our Deposit account number: 13-2725

Statement and signature:

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9. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Curtis B. Hamre

Name of Person Signing

Signature

January 22, 2004

Date

Total number of pages including attachments and document: 3

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Alexandria VA 22313-1450

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CERTIFICATE UNDER 37 CFR 1.8:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner of Patents and Trademarks, Mail Stop Assignments, P. O. Box 1450, Alexandria, VA 22313-1450 on January 22, 2004.

Name Peggy Korthove

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INSTRUCTIONS

Inventor(s) Name,
Address, Citizenship

Date Patent Declaration
Signed and Title Serial
No. and filing date (if
known)

Name of Corporation

State or Country of
Incorporation and Address

ASSIGNMENT OF U.S. RIGHTS TO CORPORATION

WHEREAS,

1. Jong-won KIM residing at 110-402, Hyundai-Apartment,
Suso-Dong, Kangnam-gu, Seoul and a citizen of Republic of Korea;
2. Hyun-se KIM residing at 235-7, Shillim-dong,
Kwanak-gu, Seoul and a citizen of Republic of Korea;
3. Ki-baik KWON residing at 218-19, 4/4 Dongho-dong,
Donghae-si, Kangwon-do and a citizen of Republic of Korea;
4. _____ residing at _____
_____ and a citizen of _____;
5. _____ residing at _____
_____ and a citizen of _____;

(herein called "THE UNDERSIGNED") have made an invention and have
executed an application for Letters Patent of the United States on
_____ for this invention, which application is entitled
_____ and which has been given Serial
Number _____ and the filing date of _____;

AND WHEREAS SEOUL NATIONAL UNIVERSITY INDUSTRY FOUNDATION (herein
called "ASSIGNEE"), a corporation organized under the laws
of Republic of Korea and having an office and place of business
at #222 2nd Fl., Seoul National University Research Park Main Center, San 4-2,
Bong-chun 7 dong, Kwanak-gu, Seoul, Republic of Korea,
wishes to acquire the entire right, title and interest in and to said
invention and patent application and any Letters Patent to be obtained
therefor;

NOW, THEREFORE, for and in consideration of the sum of One Dollar
and other good and valuable consideration, the receipt and sufficiency of
which is hereby acknowledged, THE UNDERSIGNED hereby sell, assign
and transfer to the ASSIGNEE, its successors and assigns, the entire
right, title and interest for the United States in and to the invention
disclosed in the aforesaid application, and in and to the said application,
all divisions, continuations, or renewals thereof, all Letters Patent which
may be granted therefrom, and all reissues or extensions of such
patents, and THE UNDERSIGNED hereby authorize and request the
Commissioner of Patents to issue any and all United States Letters
Patent for the aforesaid invention to the ASSIGNEE of the entire right,
title and interest in and to the same, for the use of the ASSIGNEE, its
successors and assigns.

THE UNDERSIGNED hereby agree that THE UNDERSIGNED, their
executors and legal representatives will make, execute and deliver
(without charge but at the expense of the ASSIGNEE) any and all other
instruments in writing including any and all further application papers,
affidavits, assignments and other documents, and will communicate to
said ASSIGNEE, its successors and representatives all facts known to
THE UNDERSIGNED relating to said invention and the history thereof
and will testify in all legal proceedings and generally do all things which
may be necessary or desirable to vest the ASSIGNEE, its successors or
assigns the entire right, title and interest in and to the said invention,
applications, Letters Patent, rights, titles, benefits, privileges and
advantages hereby sold, assigned and conveyed, or intended so to be.

THE UNDERSIGNED represent and agree with said ASSIGNEE its successors and assigns, that no assignment grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by THE UNDERSIGNED, and that full right to convey the same as herein expressed is possessed by THE UNDERSIGNED.

IN TESTIMONY WHEREOF, THE UNDERSIGNED have hereunto set their hand on the dates indicated below.

Each inventor must sign & date

Note: No legalization or other witness required

| | | |
|--------------|--------------------|----------------------|
| 1. Signature | <u>Jungwon Kim</u> | <u>23. Oct, 2003</u> |
| | Date | |
| 2. Signature | <u>Kim Hyun Se</u> | <u>23. Oct, 2003</u> |
| | Date | |
| 3. Signature | <u>[Signature]</u> | <u>23. Oct, 2003</u> |
| | Date | |
| 4. Signature | _____ | _____ |
| | Date | |
| 5. Signature | _____ | _____ |
| | Date | |